

**Notice of Allowability**

Application No.

09/850,134

Examiner

Pierre E. Elisca

Applicant(s)

HARADA, IZUMI

Art Unit

3621

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address--

All claims being allowable, PROSECUTION ON THE MERITS IS (OR REMAINS) CLOSED in this application. If not included herewith (or previously mailed), a Notice of Allowance (PTOL-85) or other appropriate communication will be mailed in due course. **THIS NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RIGHTS.** This application is subject to withdrawal from issue at the initiative of the Office or upon petition by the applicant. See 37 CFR 1.313 and MPEP 1308.

1. ☒ This communication is responsive to 8/8/2005.
2. ☒ The allowed claim(s) is/are 1- 28, and 30-45.
3. ☒ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☒ All b) ☐ Some\* c) ☐ None of the:
1. ☒ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
3. ☐ Copies of the certified copies of the priority documents have been received in this national stage application from the International Bureau (PCT Rule 17.2(a)).

\* Certified copies not received: \_\_\_\_\_.

Applicant has THREE MONTHS FROM THE "MAILING DATE" of this communication to file a reply complying with the requirements noted below. Failure to timely comply will result in ABANDONMENT of this application.

**THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.**

4. ☐ A SUBSTITUTE OATH OR DECLARATION must be submitted. Note the attached EXAMINER'S AMENDMENT or NOTICE OF INFORMAL PATENT APPLICATION (PTO-152) which gives reason(s) why the oath or declaration is deficient.
5. ☐ CORRECTED DRAWINGS (as "replacement sheets") must be submitted.
- (a) ☐ including changes required by the Notice of Draftsperson's Patent Drawing Review (PTO-948) attached
- 1) ☐ hereto or 2) ☐ to Paper No./Mail Date \_\_\_\_\_.
- (b) ☐ including changes required by the attached Examiner's Amendment / Comment or in the Office action of Paper No./Mail Date \_\_\_\_\_.
- Identifying indicia such as the application number (see 37 CFR 1.84(c)) should be written on the drawings in the front (not the back) of each sheet. Replacement sheet(s) should be labeled as such in the header according to 37 CFR 1.121(d).
6. ☐ DEPOSIT OF and/or INFORMATION about the deposit of BIOLOGICAL MATERIAL must be submitted. Note the attached Examiner's comment regarding REQUIREMENT FOR THE DEPOSIT OF BIOLOGICAL MATERIAL.

**Attachment(s)**

1. ☒ Notice of References Cited (PTO-892)
2. ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
3. ☒ Information Disclosure Statements (PTO-1449 or PTO/SB/08), Paper No./Mail Date \_\_\_\_\_
4. ☐ Examiner's Comment Regarding Requirement for Deposit of Biological Material
5. ☐ Notice of Informal Patent Application (PTO-152)
6. ☐ Interview Summary (PTO-413), Paper No./Mail Date \_\_\_\_\_
7. ☐ Examiner's Amendment/Comment
8. ☒ Examiner's Statement of Reasons for Allowance
9. ☐ Other \_\_\_\_\_

*Pierre E. Elisca*  
PRIMARY EXAMINER

## REASONS FOR ALLOWANCE

1. This is an Examiner's statement of reasons for allowance. The closest prior art Kawan U.S. Pat. No. 6,442,532 discloses a wireless financial information, and settlement.

JP2003067589A, Sato Ikuo discloses a trading system for advertised merchandise.

IBM Technical Disclosure Bulletin, TDB-ACC-NO: NNRD454118 discloses a method for individual authentication and settlement by using member card and cellular phone.

However, neither Kawan nor Sato and IBM Technical Disclosure Bulletin singularly or in combination fail to disclose the recited feature:

As per claim 1 "when succeeding in authenticating said purchaser, settling by said settlement computer's utilizing said seller identification information, said purchaser identification information and said deal information, wherein said deal information and said seller identification information are indicated by a one-dimensional or two-dimensional bar code that is scanned by said mobile terminal, said bar code being provided on the goods or being provided directly to a user of the mobile terminal by the seller for purchase of the service, and wherein the seller and the purchaser are co-located during the deal".

As per claim 34 "means for reading in authentication information of said purchaser, and means for transmitting said seller identification information, said purchaser identification information, said deal information and said authentication information to the settlement computer, wherein said seller and said purchaser are co-located during the deal".

As per claim 36 " means for authenticating said purchaser by said purchaser identification information and said authentication information, and means for, when succeeding in authenticating said purchaser, settling by utilizing said seller identification information, said purchaser identification information and said deal information, wherein said seller and said purchaser are co-located during the deal".

### ***Conclusion***

2. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Pierre E. Elisca whose telephone number is 571 272 6706. The examiner can normally be reached on 6:30 to 5:00.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, James Trammell can be reached on 571 272 6712. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

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**Pierre Eddy Elisca**

**Primary Patent Examiner**

**September 26, 2005**